

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:)
Young-Min Kwon) Title: "Semiconductor Device and a) Fabrication Method Thereof"
Serial No: 10/636,030) Group Art Unit: 2811
Filed: August 7, 2003) Examiner: Unknown

TRANSMITTAL OF EXECUTED DECLARATION

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is an executed Declaration for filing in the above-identified application in response to the Notice to File Missing Parts issued by the Patent and Trademark Office on November 5, 2003.

CERTIFICATE OF MAILING (37 CFR 1.8)

I hereby certify that this paper and the documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, postage prepaid, on November 12, 2003 in an envelope addressed to Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Mark G. Hanley

Also enclosed is a copy of the Notice together with our check in the amount of \$130 in payment of the late filing of declaration fee.

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required under 37 CFR 1.16 or 1.17 to Deposit Account No. 50-2455. A copy of this request is enclosed.

Please refund any overpayment to Grossman & Flight LLC at the address below.

Correspondence Address:

Respectfully submitted,

GROSSMAN & FLIGHT LLC 20 North Wacker Drive Suite 4220 Chicago, Illinois 60606 (312) 580-1020

By:

Mark G. Hanley

Registration No.: 44,736

November 12, 2003

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled "SEMICONDUCTOR DEVICE AND A FABRICATION METHOD THEREOF," the specification of which is attached hereto. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above. I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

naving a thing date before that C	i ine application(s)	or which priority is claimed.		Priority	Claimed
10-2002-46579	Korea	07/08/2002			
(Application Serial Number)	(Country)	(Day/Month/Year F	led)	Yes	No
(Application Serial Number)	(Country)	(Day/Month/Year F	iled)	Yes	No
I hereby claim the bene	fit under 35 U.S.C.	§119(e) of any United States pr	ovisional application(s) listed	l below: Priority	Claimed
(Application Serial Number)	(Country)	(Day/Month/Year Fi	led)	Yes	□ No
			•	_	_
(Application Serial Number)	(Country)	(Day/Month/Year F	led)	∐ Yes	No
I hereby claim the bene	efit under 35 U.S.C	. §120 of any United States ap	plication(s) or PCT internation	onal applic	cation(s)
designating the United States of	America listed belo	ow and, insofar as the subject m	atter of each of the claims of	this appli	cation is
not disclosed in the prior applica	ation(s) in the man	ner provided by the first paragra	iph of 35 U.S.C. §112, I ackr	iowledge	the duty
to disclose to the Office all info	mation known to n	ne to be material to patentability	as defined in 37 C.F.R. §1.5	i6 which (occurred
between the filing date of the pri	or application(s) an	d the national or PCT internation	nal filing date of this applicat	tion:	
(Application Serial Number)		(Day/Month/Year Filed)	(Status-Patented, Pendin	ig or Abando	oned)
(Application Serial Number)		(Day/Month/Year Filed)	(Status-Patented, Pending of	or Abandone	d)

information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

James A. Flight (37,622)	Mark C. Zimmerman (44,006)	Mark G. Hanley (44,736)	Frankie Ho (48,479)
Joseph T. Jasper (50,833)			

of Grossman & Flight, LLC., Suite 4220, 20 North Wacker Drive, Chicago, Illinois 60606, and

Send correspondence to: Mark G. Hanley

FIRM NAME

PHONE NO.

STREET

CITY & STATE

ZIP CODE

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State or Country State or Country Korea Same Date Signature Oct 20,2003 \mathbf{V}

Second Joint Inventor, if any	Citizenship
Residence Address - Street	Post Office Address - Street
City (Zip)	City (Zip)
State or Country	State or Country
Date ☑	Signature ☑

APPLICABLE RULES AND STATUTES

37 CFR 1.56. DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR 1.56(a).

35 U.S.C. 102. CONDITIONS FOR PATENTABILITY: NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
 - (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
 - (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER (Applicable Portion)

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

35 U.S.C. 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.